

Alternative Trading Systems with Form ATS
on File with the SEC as of November 1, 2014

Full Name of Alternative Trading System (as provided in item 1.A. of Form ATS)	Name(s) Under Which Business Is Conducted (as provided in item 1.B. of Form ATS)	City and State of Alternative Trading System (as provided in item 1.F. of Form ATS)
Apogee	Citadel Securities LLC	Chicago, IL
Aqua Securities L.P.		New York, NY
Arbor Research and Trading Inc.	Clarity BidRate Alternative Trading System	Barrington, IL
ATS-1	Morgan Stanley & Co. Incorporated	New York, NY
ATS-1 (Merrill Lynch)		New York, NY
ATS-4	Morgan Stanley & Co. Incorporated	New York, NY
ATS-6	Morgan Stanley & Co. Incorporated	New York, NY
Automated Equity Finance Markets, Inc.	AQS	Jersey City, NJ
Barclays ATS	LX	New York, NY
Barclays DirectEx	Barclays Capital, Inc.	New York, NY
BGC Financial L.P.	BGC Financial	New York, NY
BIDS Trading, L.P.	BIDS Trading	New York, NY
BLOOMBERG TRADEBOOK LLC, as operator of BLOOMBERG TRADEBOOK SYSTEM	TRADEBOOK	New York, NY
Bonds.Com, Inc.	BondPro	Boca Raton, FL
Brilliquid LLC	Brilliquid.com	Short Hills, NJ
Brokertec	ICAP Electronic Broking LLC	Jersey City, NJ
CITICROSS	Citi Cross is owned and operated by Citigroup Global Markets Inc. (CGMI)	New York, NY
Credit Suisse Securities (USA) LLC	CrossFinder	New York, NY
Credit Suisse Securities (USA) LLC	Light Pool	New York, NY
Curian Clearing, LLC		Denver, CO
Dealerweb, Inc.	Dealerweb	Jersey City, NJ
DEEP ATS, LLC		Houston, TX
Deutsche Bank Securities, Inc.	SuperX	New York, NY
eBX LLC	Level ATS	Boston, MA
EquiLend LLC		New York, NY
Fantex Brokerage Services		San Francisco, CA
FixCenter, LLC		New York, NY
FNC AG Stock, LLC		Grand Forks, ND
Folio Investing Note Trader Platform	Foliofn Investment, Inc.	McLean, VA
FOLIOfn Investments, Inc.		McLean, VA
GATE US LLC		New York, NY
GFI Securities LLC		New York, NY
Global OTC	Archipelago Trading Services, Inc.	Chicago, IL

Grant Street Securities, Inc.		Pittsburgh, PA
Hartfield, Titus & Donnelly LLC	HTDOnline	Jersey City, NJ
ICAP Trading System	ICAP Corporates	Jersey City, NJ
ICE Creditbook	Creditex Securities Corp.	New York, NY
IEX ATS	IEX Services LLC	New York, NY
Instinct X		New York, NY
Instinet Continuous Block Crossing System (CBX)	Instinet, LLC	New York, NY
Instinet Crossing	Instinet, LLC	New York, NY
Interactive Brokers LLC		Greenwich, CT
J.P. Morgan ATS ("JPM-X")	J.P. Morgan Securities LLC	New York, NY
JET-X		New York, NY
JSVC LLC		Honolulu, HI
KCG BondPoint	KCG BondPoint, a division of Knight Capital Americas LLC	Jersey City, NJ
Knight Capital Americas LLC	Knight Match	Jersey City, NJ
LavaFlow ECN	Citigroup Financial Products Inc.	New York, NY
Lending Club Trading Market	FOLIOfn Investments, Inc.	Arlington, VA
Liquidity Finance LLC		Stamford, CT
Liquidnet Fixed Income ATS		New York, NY
LiquidNet H2O ATS		New York, NY
Liquidnet Negotiation ATS		New York, NY
LiquidPoint NXP		Chicago, IL
LIQUIFI	LIQUIFI is owned and operated by Citigroup Global Markets, Inc. (CGMI) and CitiCross (CX) are functionalities of LIQUIFI and may be referred as such	New York, NY
Maps ECN	Marco Polo Securities	New York, NY
MarketAxess Corporation Mid-X Trading System	Mid-X System	New York, NY
MarketAxess Corporation Single-Name CDS Central Limit Order Book	MarketAxess CDS CLOB	New York, NY
MBS Source eTrading, Inc.		Mercer Island, WA
Millennium	ConvergEX Execution Solutions LLC	New York, NY
Mismi, Inc.		New York, NY
MTS Markets International, Inc.		New York, NY
MuniAxis Lebenthal LLC		New York, NY
National Financial Services, LLC	CrossStream, CrossStream BLOX SM (BLOCK Liquidity Opportunity Cross)	Boston, MA
NPM Securities , LLC		San Francisco, CA
OTC Link LLC	OTC Link ATS	New York, NY
PDQ ATS Inc.		Glenview, IL
POSIT		New York, NY
PRO Securities, LLC		Newark, NJ
Real Time Platform	Creditex Securities Corp.	New York, NY
RiverCross	River Cross Securities, LLLP	Bala Cynwyd, PA

Shares Post Financial Corporation		San Bruno, CA
SIGMA X	Sigma X is owned and operated by Goldman Sachs Execution & Clearing, LP (GSEC)	Jersey City, NJ
Spread Zero LLC	Spread Zero or Spread Zero ATS	New York, NY
State Street Global Markets LLC	BlockCross ATS	Boston, MA
Structured Credit Connection		New York, NY
Sutter Securities Incorporated	Zanbato ATS	San Francisco, CA
TheMuniCenter, L.L.C.		New York, NY
Tradeweb Direct LLC (f/k/a BondDesk Trading LLC)		New York, NY
Triplshot, LLC		Boston, MA
Tullet Prebon Financial Services LLC		Jersey City, NJ
UBS ATS	UBS Securities LLC	Stamford, CT
Variable Investment Advisors, Inc. ATS (VIAATS)	www.AGSTOCKTRADE.COM, www.LIQUIDITYMAKER.COM	Sioux Falls, SD
Venovate Marketplace Inc.		San Francisco, CA
VortEx		New York, NY
XE	Weeden & Co.	Greenwich, CT

Cessation of Operations Reports Recently Filed

Full Name of Alternative Trading System (as provided in item 1.A. of Form ATS)	Name(s) Under Which Business Is Conducted (as provided in item 1.B. of Form ATS)	City and State of Alternative Trading System (as provided in item 1.F. of Form ATS)
Wells Fargo Securities, LLC	Wells Fargo Liquidity Crosser	New York, NY